

# OMAC (Long slides)

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# OMAC: One-Key CBC MAC

Presented at Fast Software Encryption 2003

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(Ibaraki University)

## What is a MAC?

Alice wishes to send Bob a message in such a way that Bob can be **certain** (with very high probability) that Alice was the **true originator** of the message.

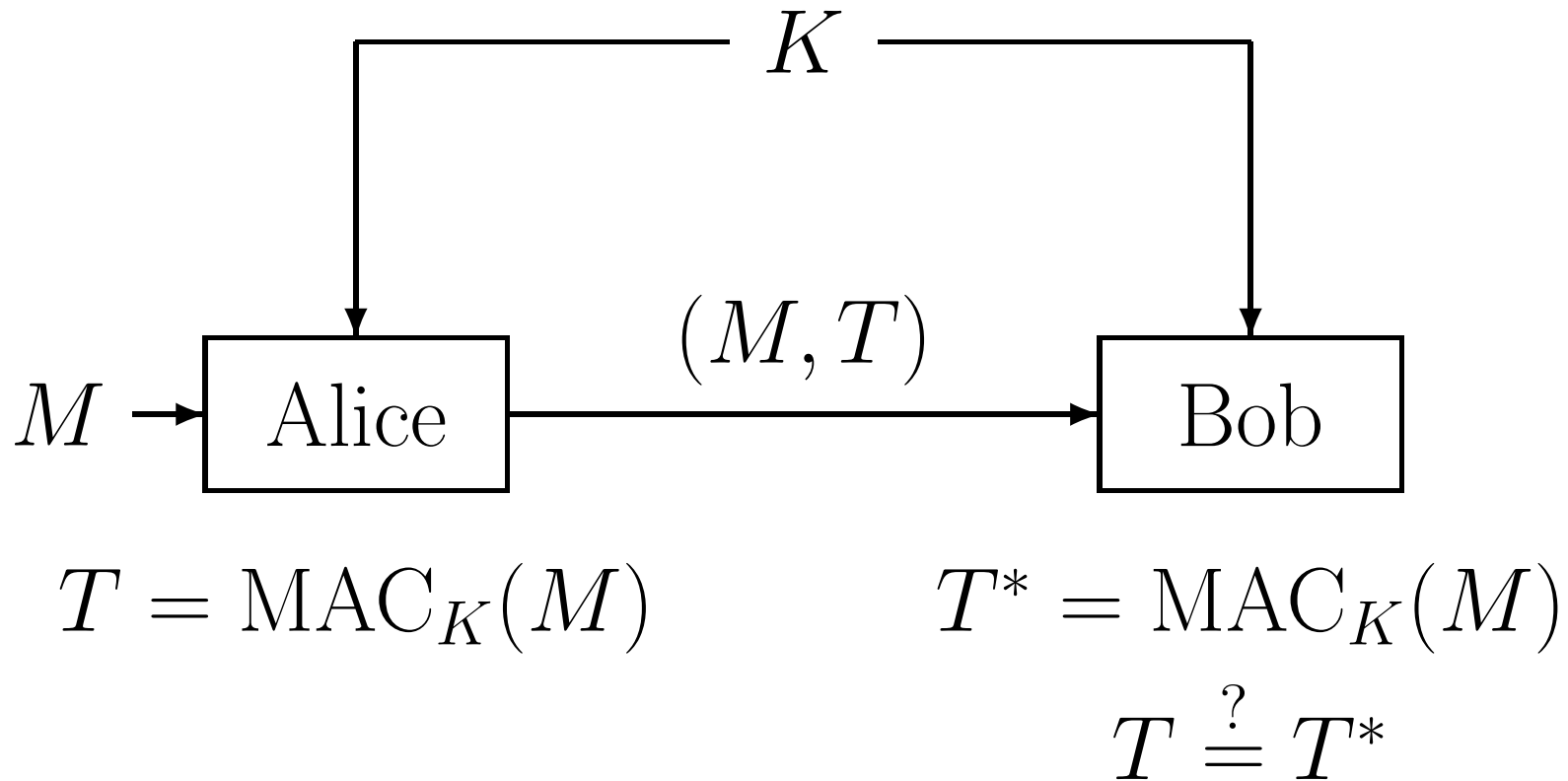
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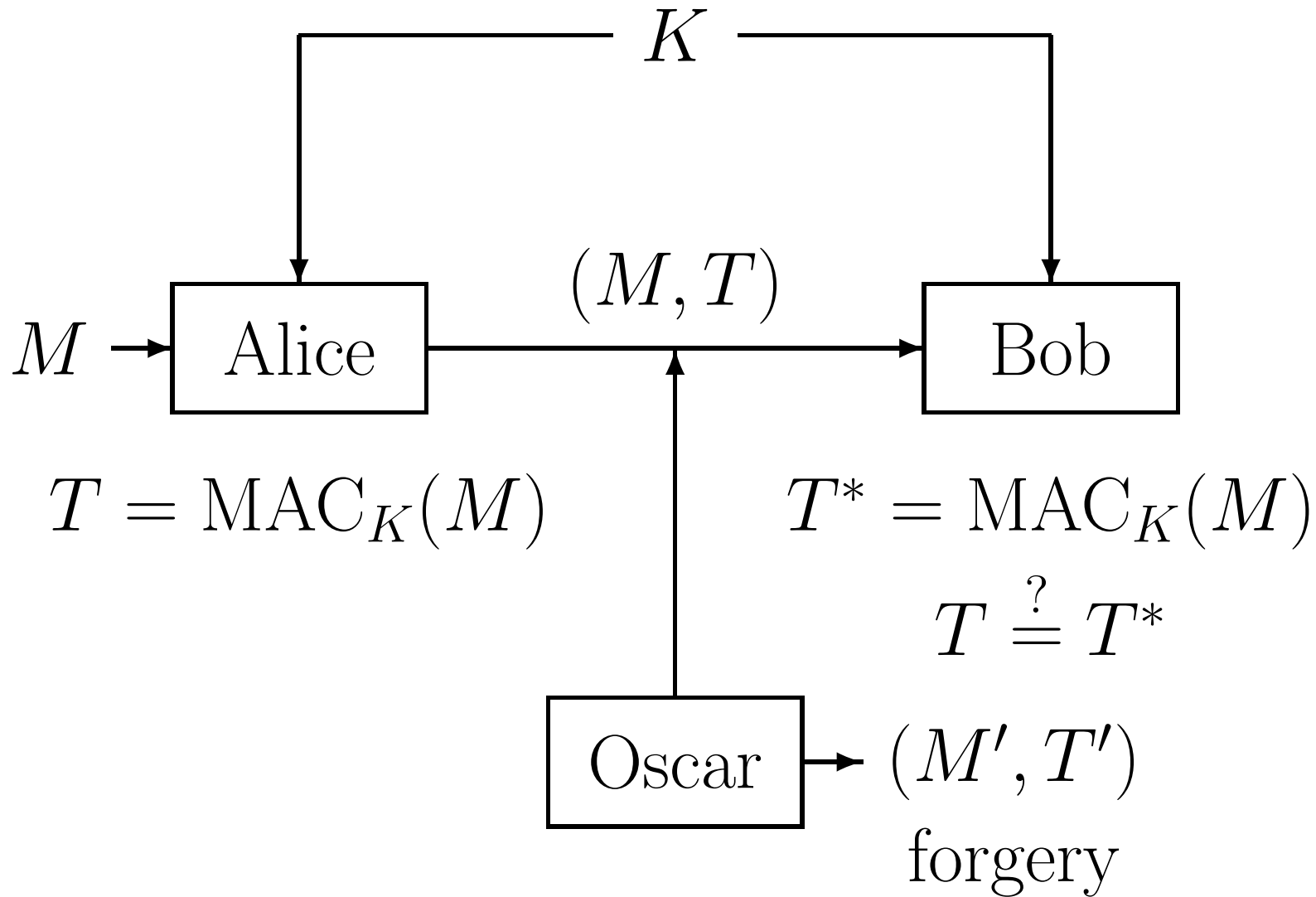


MAC (Message Authentication Code)

# What is a MAC?

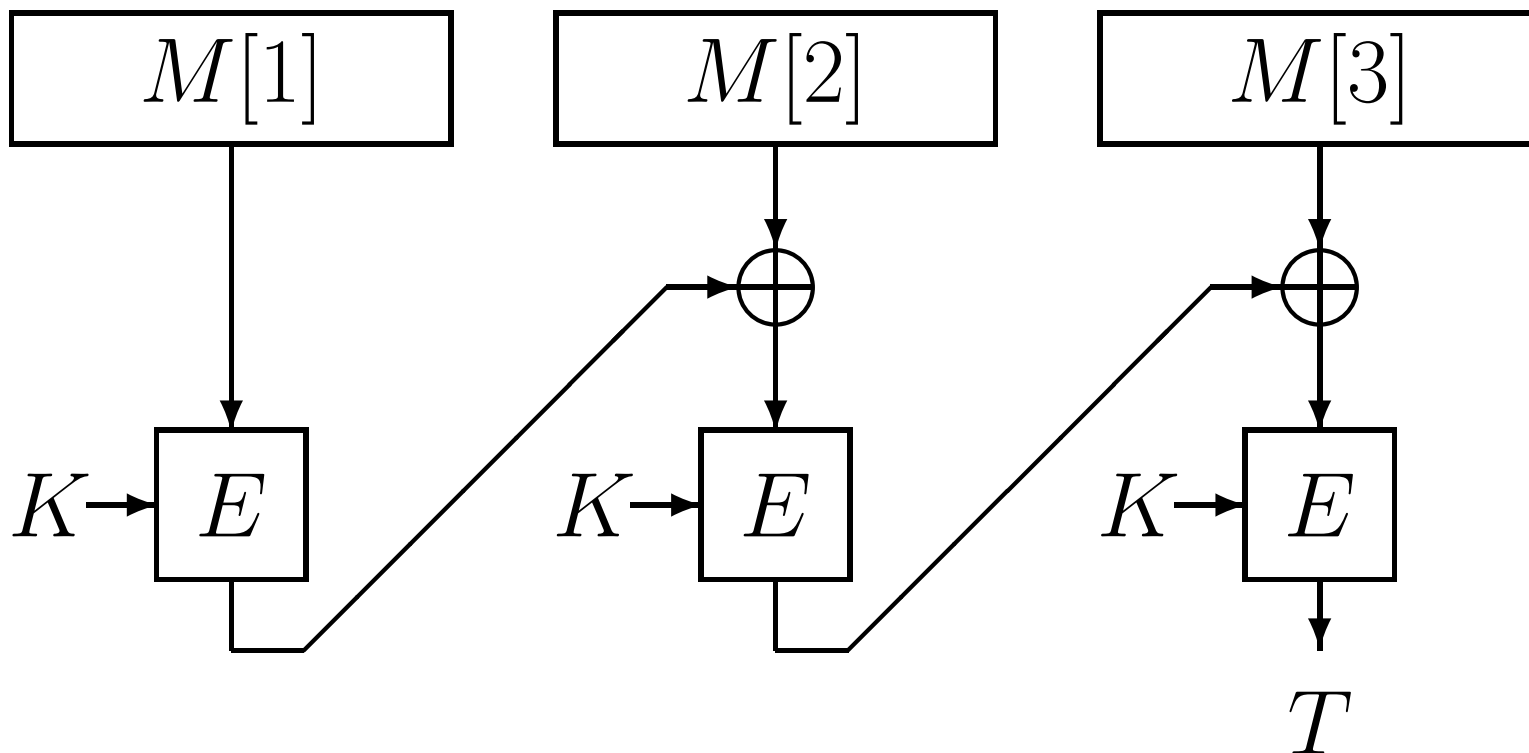


# What is a MAC?

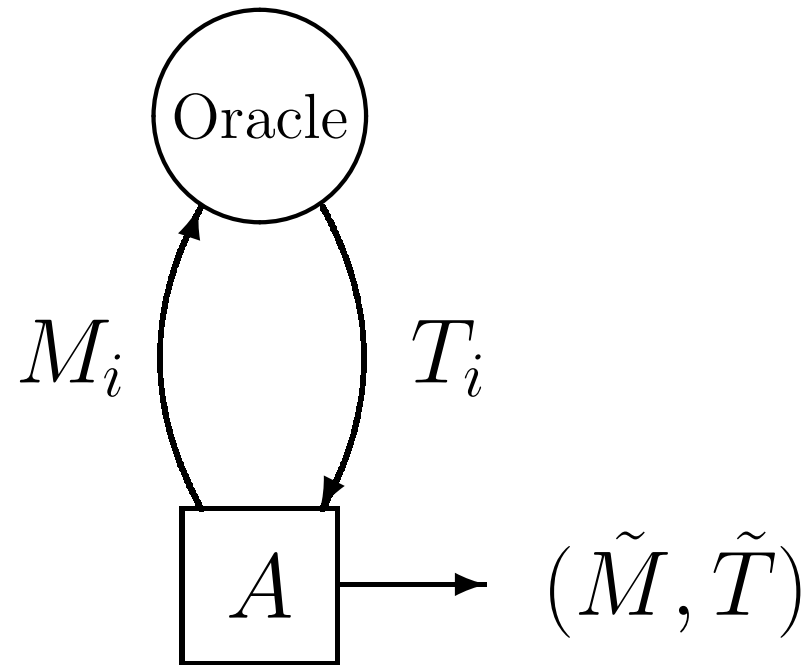


# CBC MAC

Block cipher  $E : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$

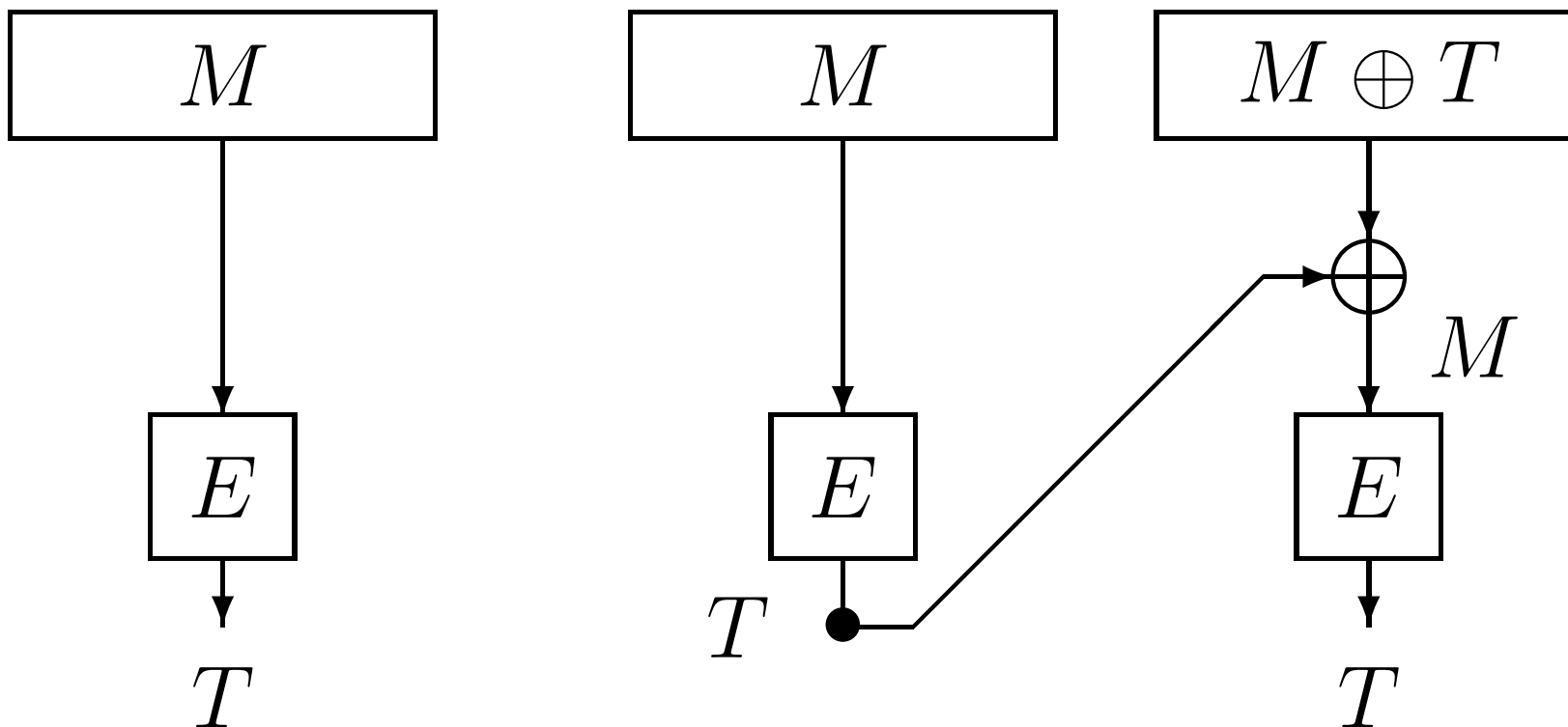


# Chosen Message Attack

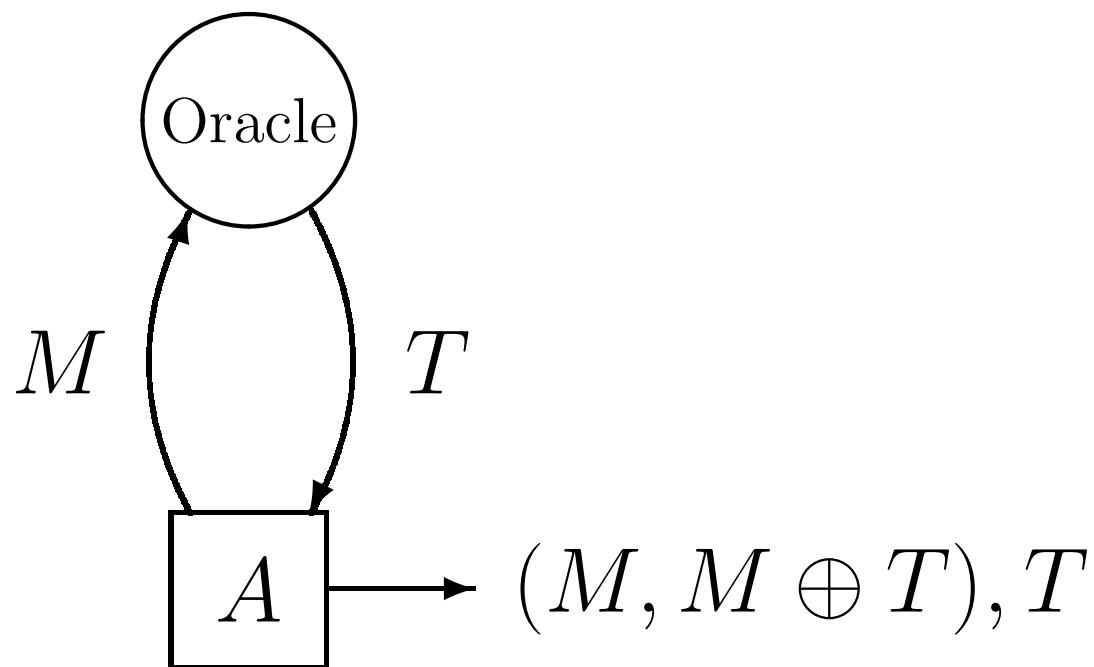




# Attack on CBC MAC (1)

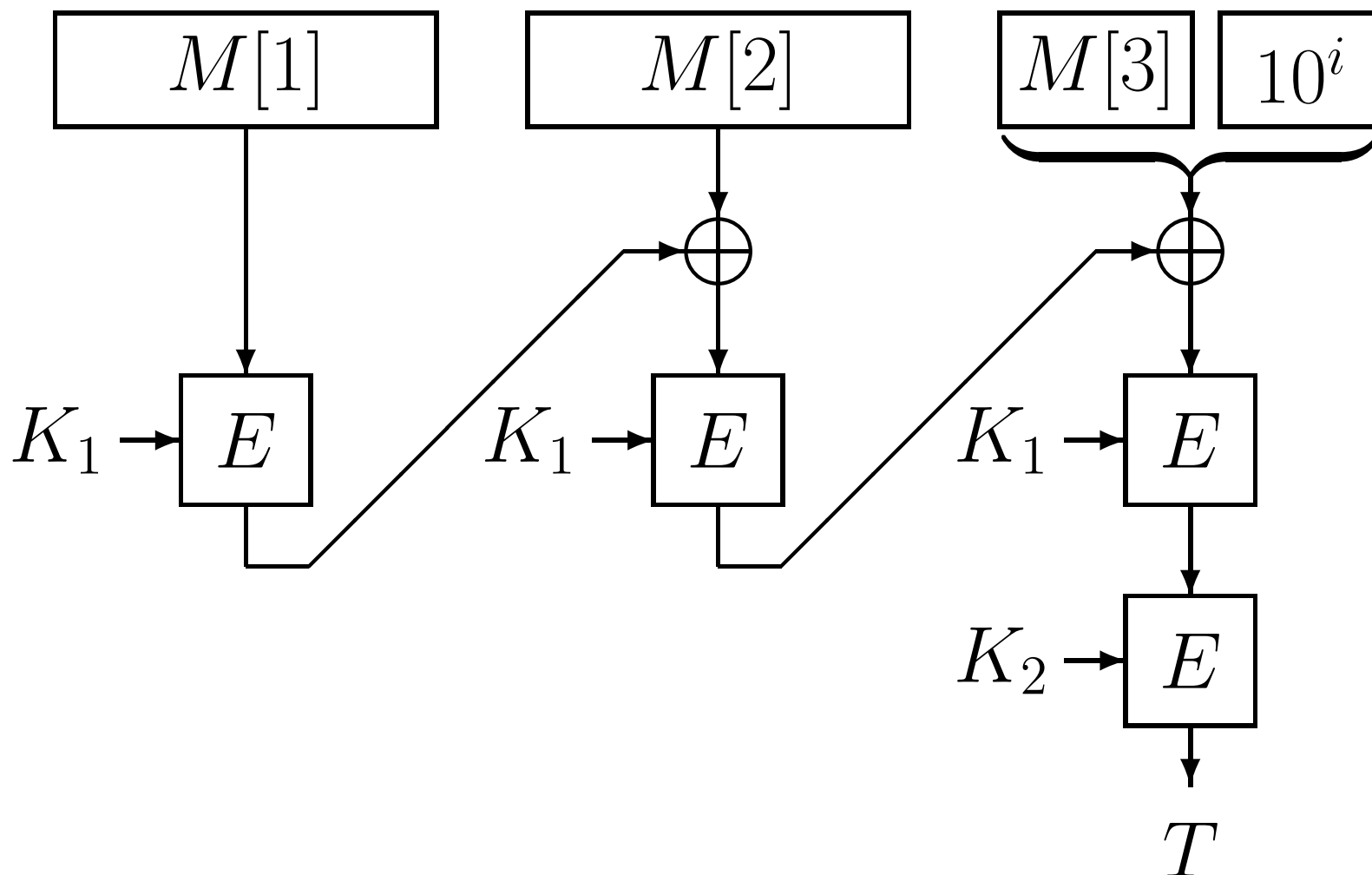


# Attack on CBC MAC (2)



# EMAC (Race Project)

Security is proved formally.



# Pseudorandom Function

Random = { all functions  $f$  }

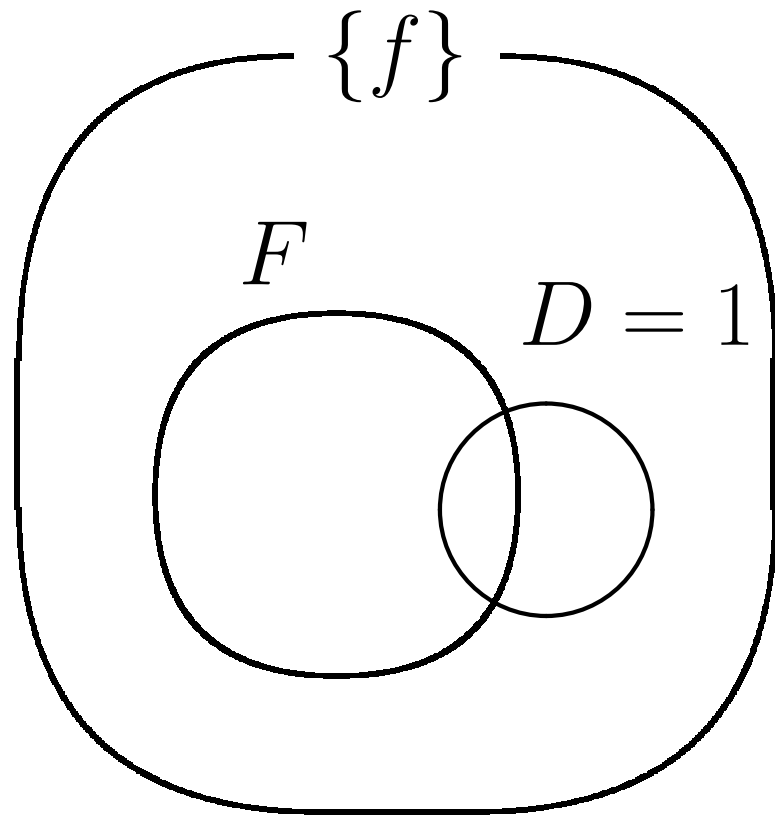
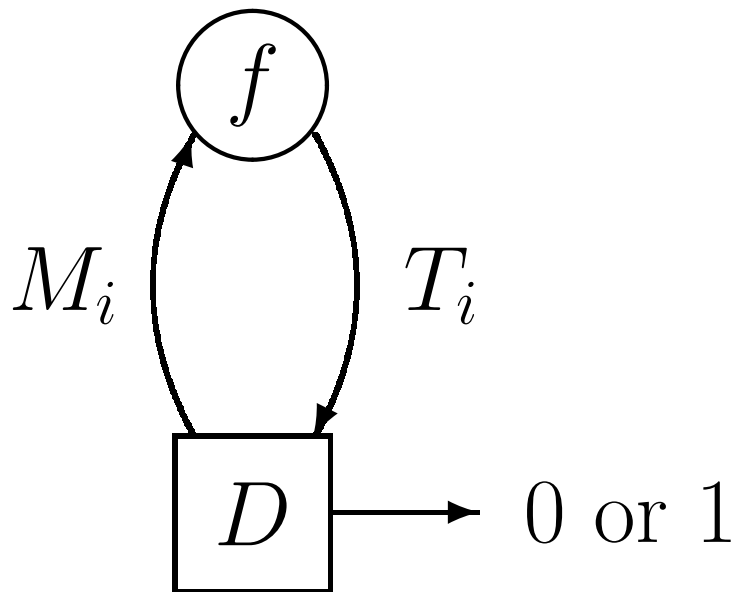
$F \subset \text{Random}$

Informally,

$F$  is pseudorandom if

$F$  and Random are indistinguishable.

# Distinguisher



# Probability

1.  $f \xleftarrow{R} \text{Random}$ :

$$p_0 = \Pr(D = 1) = \frac{|\{f \mid D = 1, f \in \text{Random}\}|}{|\text{Random}|}.$$

2.  $f \xleftarrow{R} F$ :

$$p_1 = \Pr(D = 1) = \frac{|\{f \mid D = 1, f \in F\}|}{|F|}.$$

## Formally

$F$  is  $(q, \epsilon)$ -random if

$$|p_0 - p_1| \leq \epsilon$$

for any  $D$

which makes at most  $q$  queries to  $f$ .

# Security of MAC

MAC scheme is  $(q, \epsilon)$ -secure if

$$\Pr(A \text{ can forge}) \leq \epsilon$$

for any  $A$

which makes at most  $q$  queries to  $f$ .



# Ideal MAC

$$f \xleftarrow{R} \text{Random}, \quad T = f(M)$$

Suppose that  $A$  forges  $(\tilde{M}, \tilde{T})$ . Then

$$\Pr(A \text{ succeeds}) = \frac{1}{2^n}$$

for any  $q$  (queries).

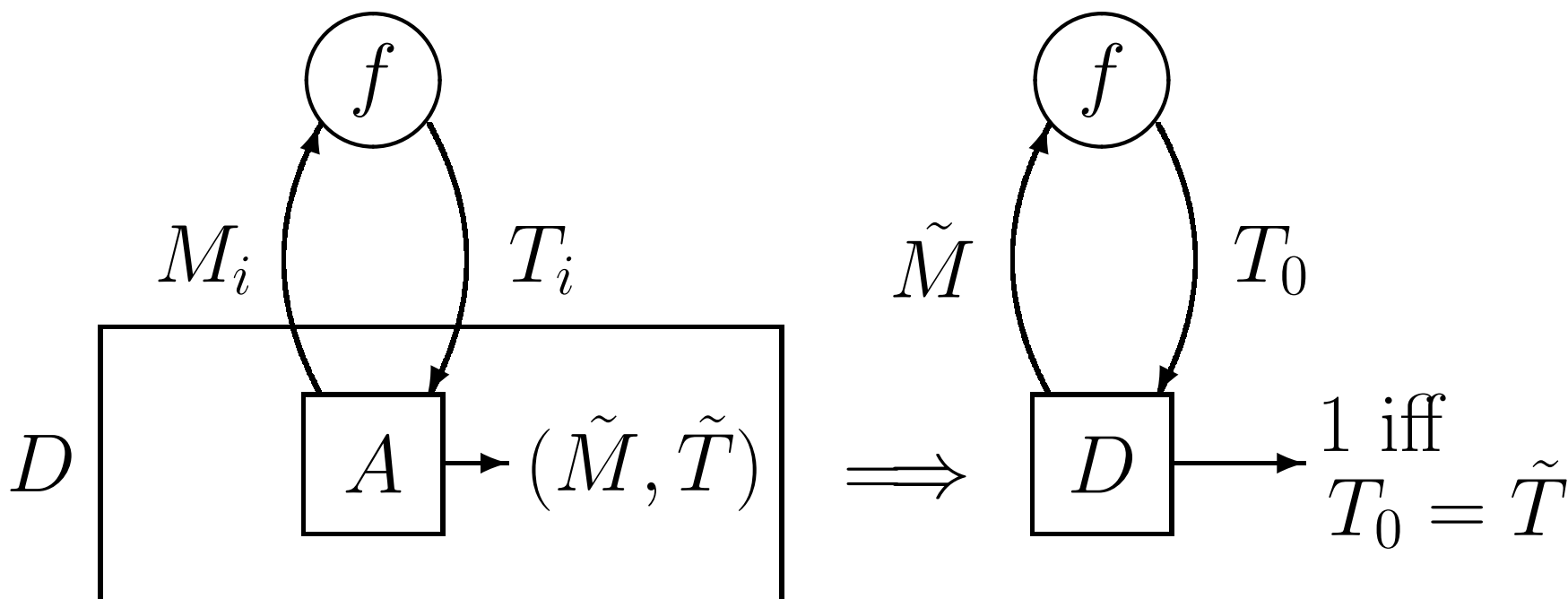
# Proposition 1

MAC scheme is  $(q, \epsilon)$ -secure if  
it is  $(q + 1, \epsilon')$ -random, where

$$\epsilon = \epsilon' + \frac{1}{2^n}.$$

# Proof (1)

$$\Pr(D = 1) = \Pr(A \text{ can forge}).$$



## Proof (2)

1.  $f \xleftarrow{R} \text{Random}$ :  $p_0 = \Pr(A \text{ can forge})$ .

2.  $f \xleftarrow{R} F$ :  $p_1 = \Pr(A \text{ can forge})$ .

Since  $F$  is  $(q + 1, \epsilon')$ -random,

$$|p_1 - p_0| < \epsilon' \implies p_1 < \epsilon' + p_0 = \epsilon' + \frac{1}{2^n} (= \epsilon).$$

(Question) What happens if  $p_1 - p_0 < 0$  ?

**For simplicity,**

- $F$  is Pseudorandom if

$$\epsilon = O\left(\frac{q^2 \sigma^2}{2^n}\right)$$

- MAC is Secure if

$$\epsilon = O\left(\frac{q^2 \sigma^2}{2^n}\right)$$

where  $n \cdot \sigma = \max(|M_1|, \dots, |M_q|)$ .

# Permutation

$\text{Perm} = \{ \text{all permutations over } \{0, 1\}^n \}$

$P \subset \text{Perm}$

$P$  is pseudorandom if

$P$  and  $\text{Perm}$  are indistinguishable.

# Ideal world and Real world

- Ideal world:

Block cipher:  $f \leftarrow \text{Perm}$ .

- Real world:

Block cipher:  $f \leftarrow P$  (pseudorandomP).

# Ideal EMAC

$$f_1 \xleftarrow{R} \text{Perm}, \quad f_2 \xleftarrow{R} \text{Perm}.$$

$$T = f_2(\text{CBC}_{f_1}(M))$$

(Lemma 1) Ideal EMAC is pseudorandom.



**(Proposition 2)** Ideal EMAC is secure.



## Proof of Lemma 1

Assume that  $A$  queries  $M_1, \dots, M_q$ .

$$\mathbf{BAD} : CBC_{f_1}(\exists M_i) = CBC_{f_1}(\exists M_j)$$

If  $\neg \mathbf{BAD}$ , then

$f_2(CBC_{f_1}(M_i))$  behaves at random.

It is proved that  $\Pr(\neg \mathbf{BAD}) < \epsilon$ .

Hence Ideal EMAC is pseudorandom.

# Real EMAC

$$P \subset \text{Perm}, \quad f_1 \xleftarrow{R} P, \quad f_2 \xleftarrow{R} P.$$

$$T = f_2(\text{CBC}_{f_1}(M))$$

(Lemma 2)

Real  $(f_1, f_2)$  and Random  $(f_1, f_2)$

are indistinguishable if  $P$  is pseudorandomP.

(Proposition 3)

Real EMAC is secure if  $P$  is pseudorandomP.

(Proof)

Ideal EMAC is secure from Lemma 1.

Real EMAC and Ideal EMAC are indistinguishable  
if  $P$  is pseudoramdom from Lemma 2.

Q.E.D.

# Padding

$$M \longrightarrow M \circ 10^i$$

so that  $|M \circ 10^i|$  is a multiple of  $n$ .

$$T = EMAC(M \circ 10^i).$$

(Extra padding)

If  $|M|$  is a multiple of  $n$  already,

then  $M \longrightarrow M \circ 10^{n-1}$ .

# Drawback of EMAC

- Extra padding
- 2 key-schedulings

$$T = E_{K_2}(CBC_{K_1}(M))$$

# Improvements

## 1. XCBC

Black and Rogaway (Crypto 2000)

## 2. TMAC

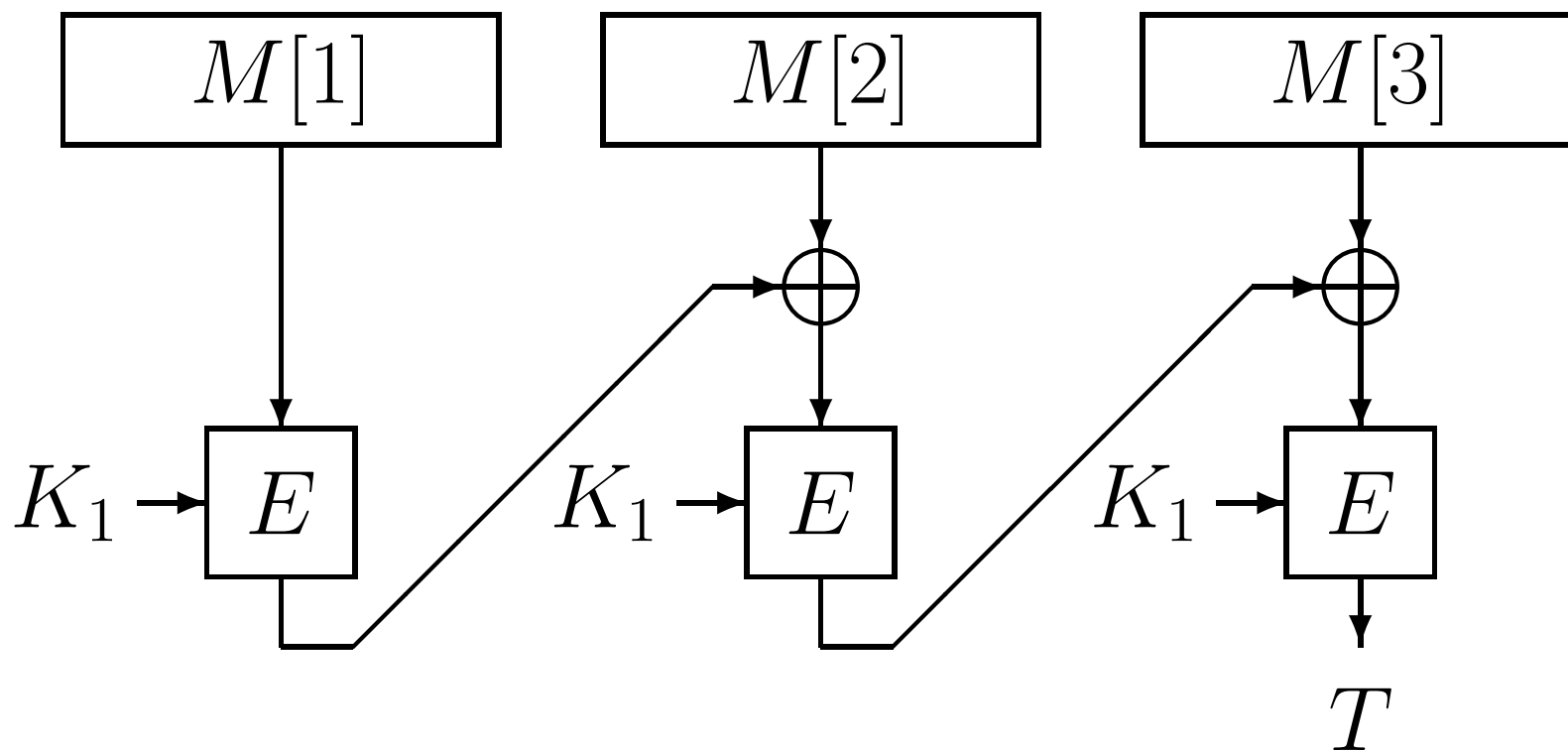
Kurosawa and Iwata (CT-RSA 2003)

## 3. OMAC

Iwata and Kurosawa (FSE 2003)

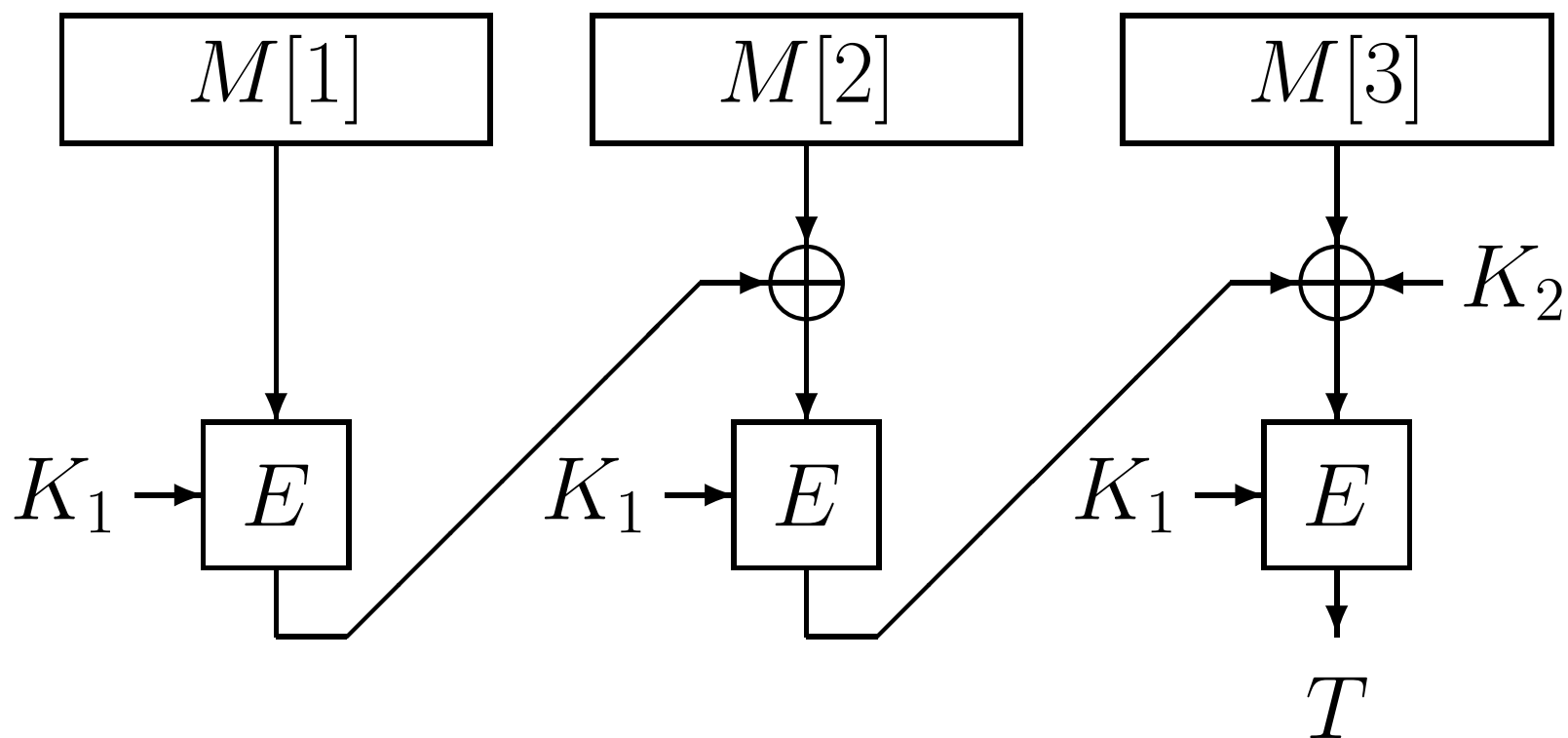
# XCBC (Black and Rogaway, Crypto'00)

Case  $|M| = mn$



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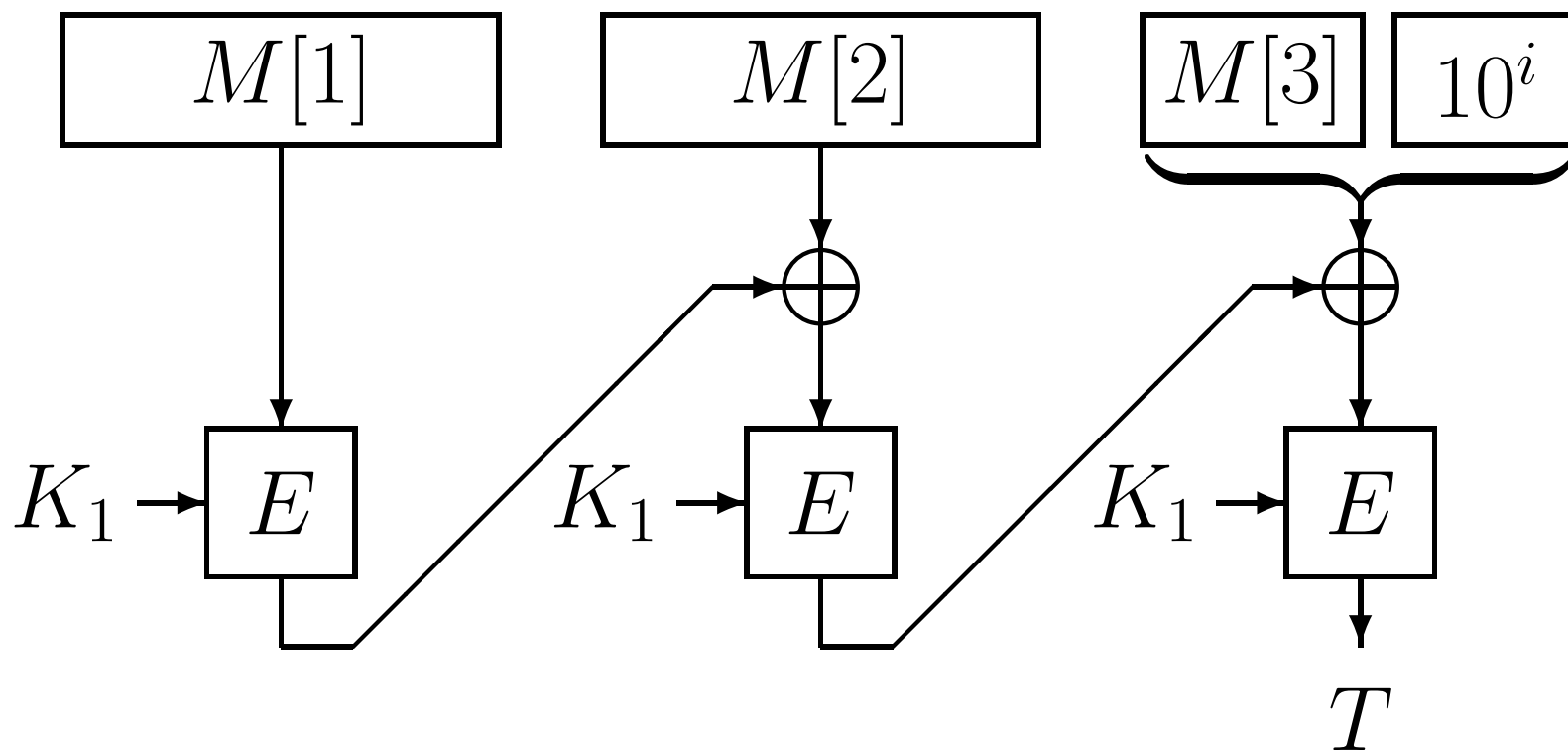
Case  $|M| = mn$





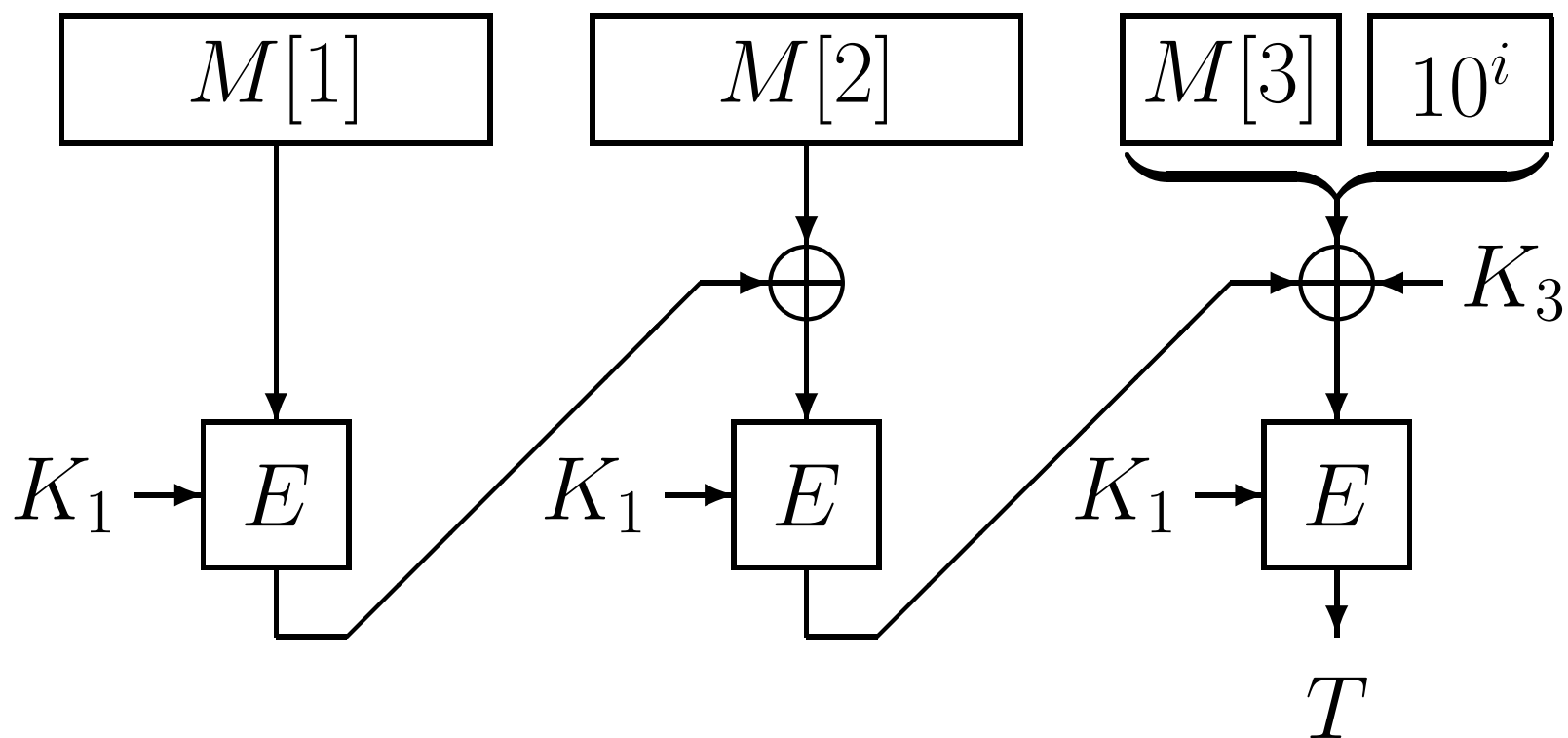
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# XCBC (Black and Rogaway, Crypto'00)

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## Advantages of XCBC

- No extra padding
- One key-scheduling

## Disadvantage of XCBC

- **Three** keys ( $k + 2n$  bits),  $K_1, K_2, K_3$ ,  
where  $|K_1| = k$ .

# TMAC and OMAC

- TMAC:  $(K_1, K_2, K_3) \rightarrow (K_1, (K_2 \cdot \mathbf{u}), K_2)$ ,

where  $\mathbf{u} \in GF(2^n)$ .

- OMAC:  $(K_1, K_2, K_3) \rightarrow (K_1, (L \cdot \mathbf{u}^2), (L \cdot \mathbf{u}))$ ,

where  $L = E_{K_1}(0^n)$ .

# Comparison

	# of keys	size of keys
XCBC	3	$k + 2n$
TMAC	2	$k + n$
OMAC	1	$k$

# FCBC

$$f_1 \xleftarrow{R} \text{Perm}, \quad f_2 \xleftarrow{R} \text{Perm}, \quad f_3 \xleftarrow{R} \text{Perm}.$$

- $f_1$  is used for CBC MAC.
- Last block

$$= \begin{cases} f_2 & \text{if } |M| \text{ is a multiple of } n. \\ f_3 & \text{otherwise} \end{cases}$$

**(Lemma 4)** FCBC is pseudorandom.

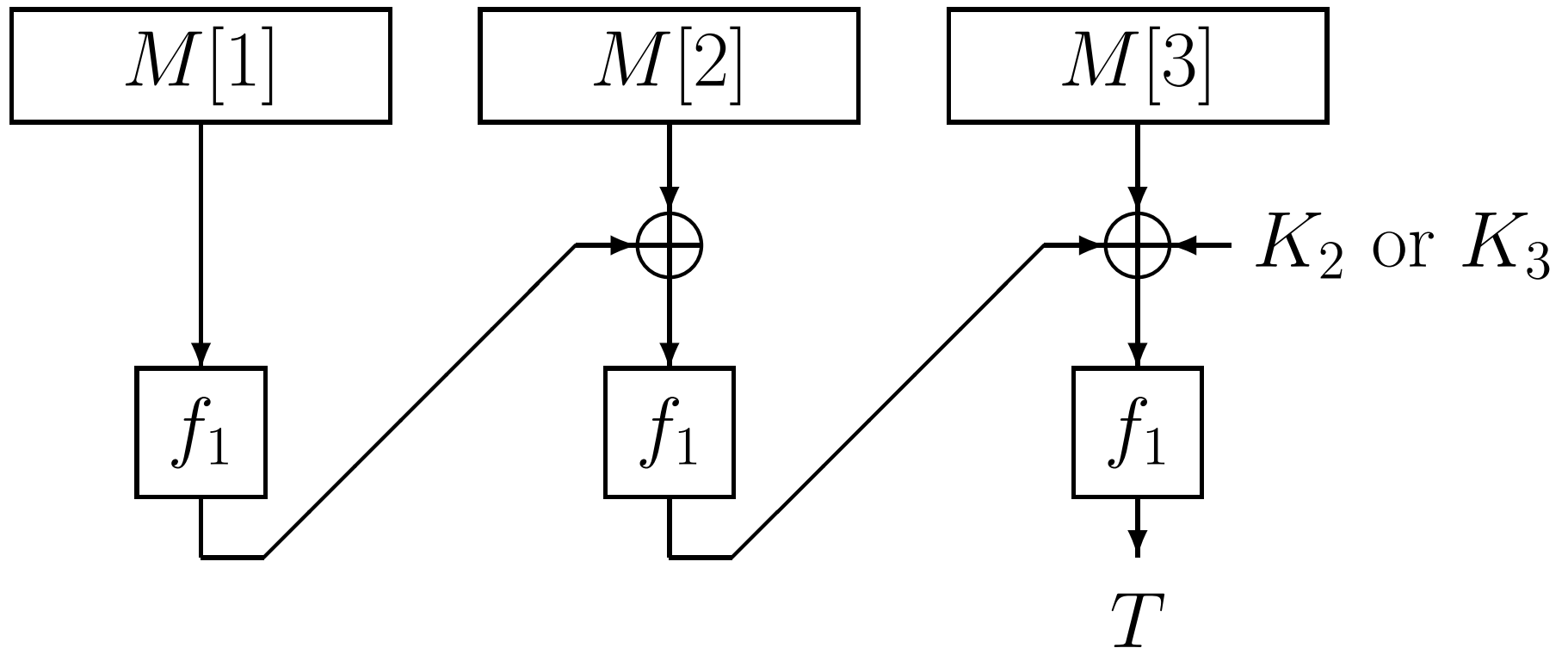
(Proof) Similar to Ideal EMAC.



**(Lemma 5)** FCBC is secure.

# Construction of XCBC

$$f_1 \stackrel{R}{\leftarrow} P.$$





# Ideal XCBC

- $f_1 \xleftarrow{R} \text{Perm}$  is used for CBC MAC.

- Last block

$$= \begin{cases} P_2(x) = f_1(x \oplus K_2) & \text{if } |M| \text{ is a multiple of } n. \\ P_3(x) = f_1(x \oplus K_3) & \text{otherwise} \end{cases}$$

# Pseudorandomness of $(f_1, P_2, P_3)$

(Lemma 6)

$(f_1, P_2, P_3)$  and random  $(f_1, f_2, f_3)$

are indistinguishable.

That is,

$$|\Pr(D^{f_1, P_2, P_3} = 1) - \Pr(D^{f_1, f_2, f_3} = 1)| < \epsilon.$$

## Proof of Lemma 6

Suppose that  $D$  queries

$a_1, a_2, \dots$  to  $f_1$ ,

$b_1, b_2, \dots$  to  $f_2$  or  $P_2(x) = f_1(x + K_2)$

$c_1, c_2, \dots$  to  $f_3$  or  $P_3(x) = f_1(x + K_3)$ .

**BAD:** The inputs to  $f_1$  collide,

$$\exists a_i = \exists b_j \oplus K_2 \text{ or } \exists b_j \oplus K_2 = \exists c_k \oplus K_3$$

$$\text{or } \exists a_i = \exists c_k \oplus K_3.$$

If  $\neg\mathbf{BAD}$ , then

semi-real

random

$$\Pr(D^{(f_1, P_2, P_3)} = 1) = \Pr(D^{(f_1, f_2, f_3)} = 1).$$

Therefore,

If  $\Pr(\mathbf{BAD}) < \epsilon$ , then

$(f_1, P_2, P_3)$  and random  $(f_1, f_2, f_3)$

are indistinguishable.

$$\Pr(\mathbf{BAD}) = \frac{|\{(K_2, K_3) \mid \mathbf{BAD} \text{ occurs}\}|}{|\{(K_2, K_3)\}|}$$

where  $\mathbf{BAD}$ :

$$\exists a_i = \exists b_j \oplus K_2$$

$$\exists b_j \oplus K_2 = \exists c_k \oplus K_3$$

$$\exists a_i = \exists c_k \oplus K_3.$$

Hence  $\Pr(\mathbf{BAD}) < \epsilon$ .

# Security of Ideal XCBC

**(Proposition 4)** Ideal XCBC is secure.

(Proof)

FCBC is secure from Lemma 5.

Ideal XCBC and FCBC are indistinguishable  
from Lemma 6.

Q.E.D.

# Security of XCBC

## (Proposition 5)

XCBC is secure if  $P$  is pseudorandomP.

(Proof)

Ideal XCBC is secure from Proposition 4.

Q.E.D.

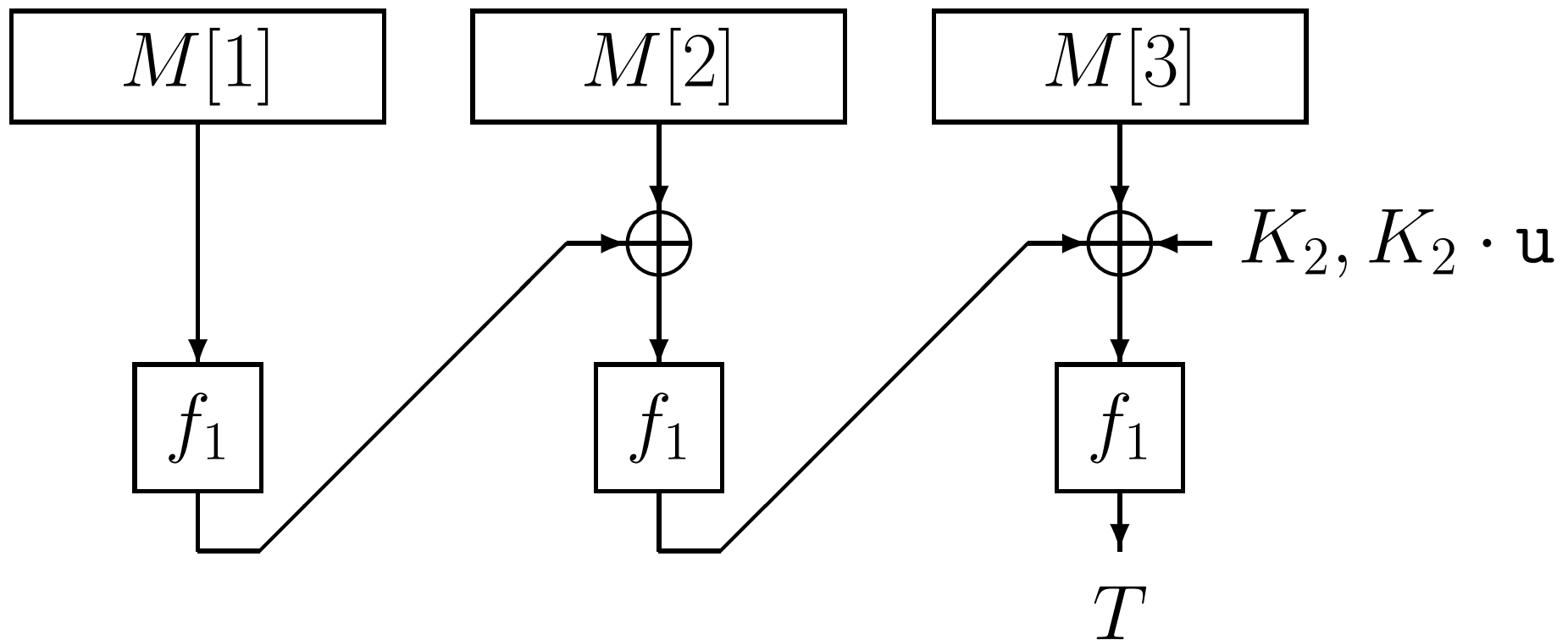
## TMAC (Kurosawa and Iwata, RSA'03)

- XCBC has 3 keys ( $k + 2n$  bits),  $K_1, K_2, K_3$
- TMAC has 2 keys ( $k + n$  bits),  $K_1, K_2$ .



# Construction of TMAC

$$f_1 \stackrel{R}{\leftarrow} P, \quad \mathbf{u} \in GF(2^n)$$



# Ideal TMAC

- $f_1 \xleftarrow{R} \text{Perm}$  is used for CBC MAC.

- Last block

$$= \begin{cases} P_2(x) = f_1(x \oplus K_2 \cdot \mathbf{u}) & \text{if } |M| \text{ is a} \\ & \text{multiple of } n. \\ P_3(x) = f_1(x \oplus K_2) & \text{otherwise} \end{cases}$$

# Security of TMAC

(Theorem 1) Ideal TMAC is secure.

(Proof)  $(f_1, P_2, P_3)$  and random  $(f_1, f_2, f_3)$   
are indistinguishable.



**(Theorem 2)**

TMAC is secure if  $P$  is pseudorandomP.

## About $GF(2^{128})$

Defined by  $f(\mathbf{u}) = \mathbf{u}^{128} + \mathbf{u}^7 + \mathbf{u}^2 + \mathbf{u} + 1$ .

For  $a = a_{127} \cdots a_1 a_0 \in GF(2^n)$ , let

$$(a \ll 1) = a_{126} \cdots a_1 a_0 0.$$

Then

$$a \cdot \mathbf{u} = \begin{cases} a \ll 1 & \text{if } a_{127} = 0, \\ (a \ll 1) \oplus 0^{120}10000111 & \text{otherwise.} \end{cases}$$

# OMAC (Iwata and Kurosawa, FSE'03)

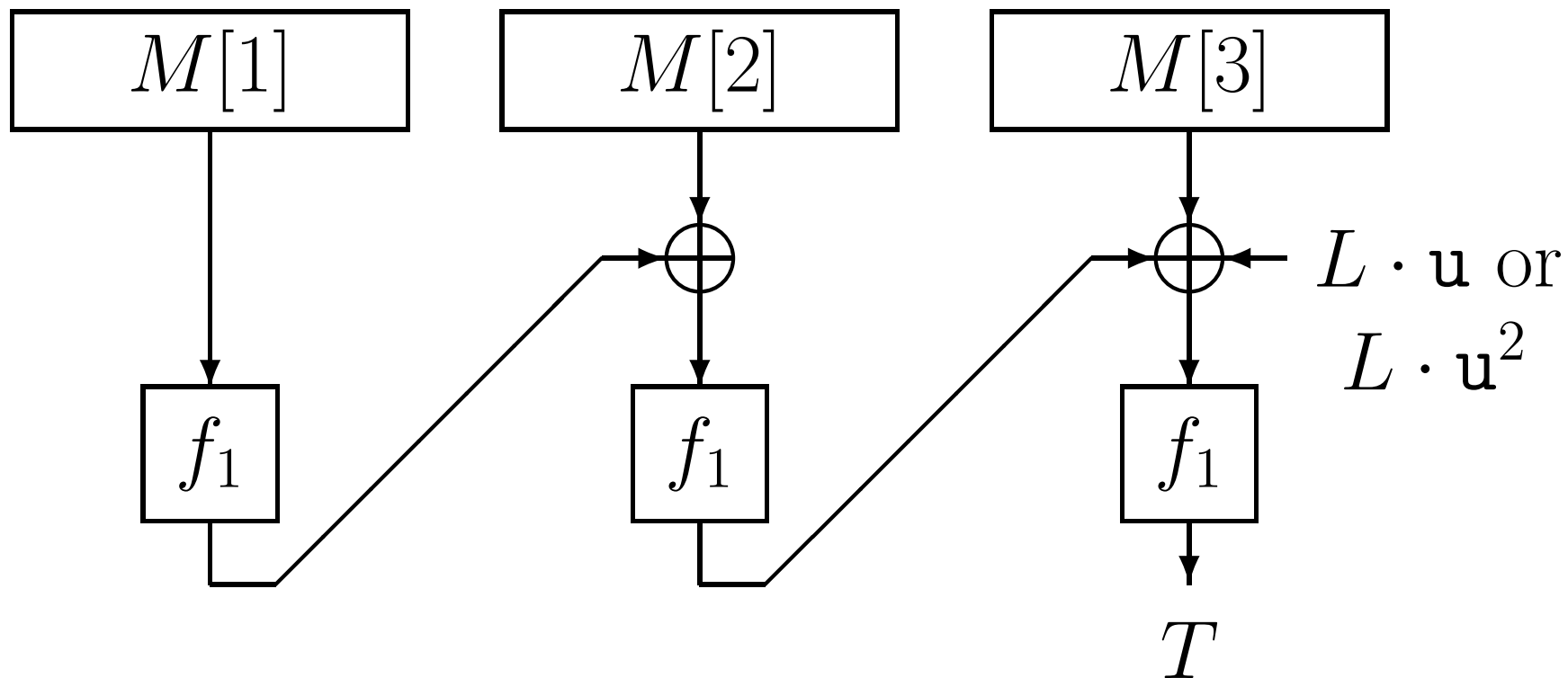
- XCBC has 3 keys ( $k + 2n$  bits),  $K_1, K_2, K_3$
- TMAC has 2 keys ( $k + n$  bits),  $K_1, K_2$ .



- OMAC has 1 key ( $k$  bits),  $K_1$ .

# Construction of OMAC

$$f_1 \stackrel{R}{\leftarrow} P, \quad L = f_1(0^n), \quad \mathbf{u} \in GF(2^n)$$



# Ideal OMAC

- $f_1 \xleftarrow{R} \text{Perm}$  is used for CBC MAC.

- Last block

$$= \begin{cases} P_2(x) = f_1(x \oplus L \cdot \mathbf{u}) & \text{if } |M| \text{ is a} \\ & \text{multiple of } n. \\ P_3(x) = f_1(x \oplus L \cdot \mathbf{u}^2) & \text{otherwise} \end{cases}$$

where  $L = f_1(0^n)$ .

# Pseudorandomness of $(f_1, P_2, P_3)$ ?

$(f_1, P_2, P_3)$  and random  $(f_1, f_2, f_3)$

are distinguishable

because  $L = f_1(0^n)$ .

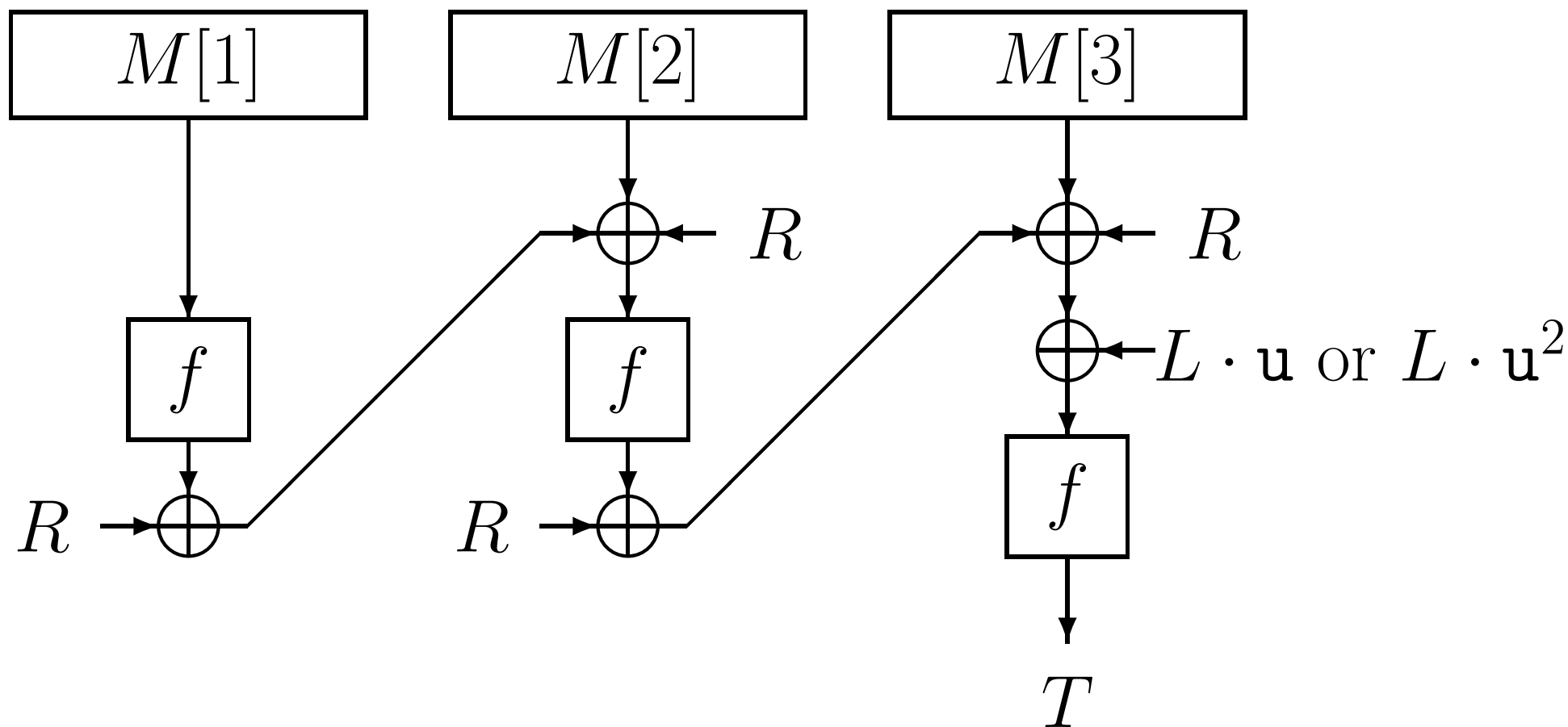


We need a new proof technique !!

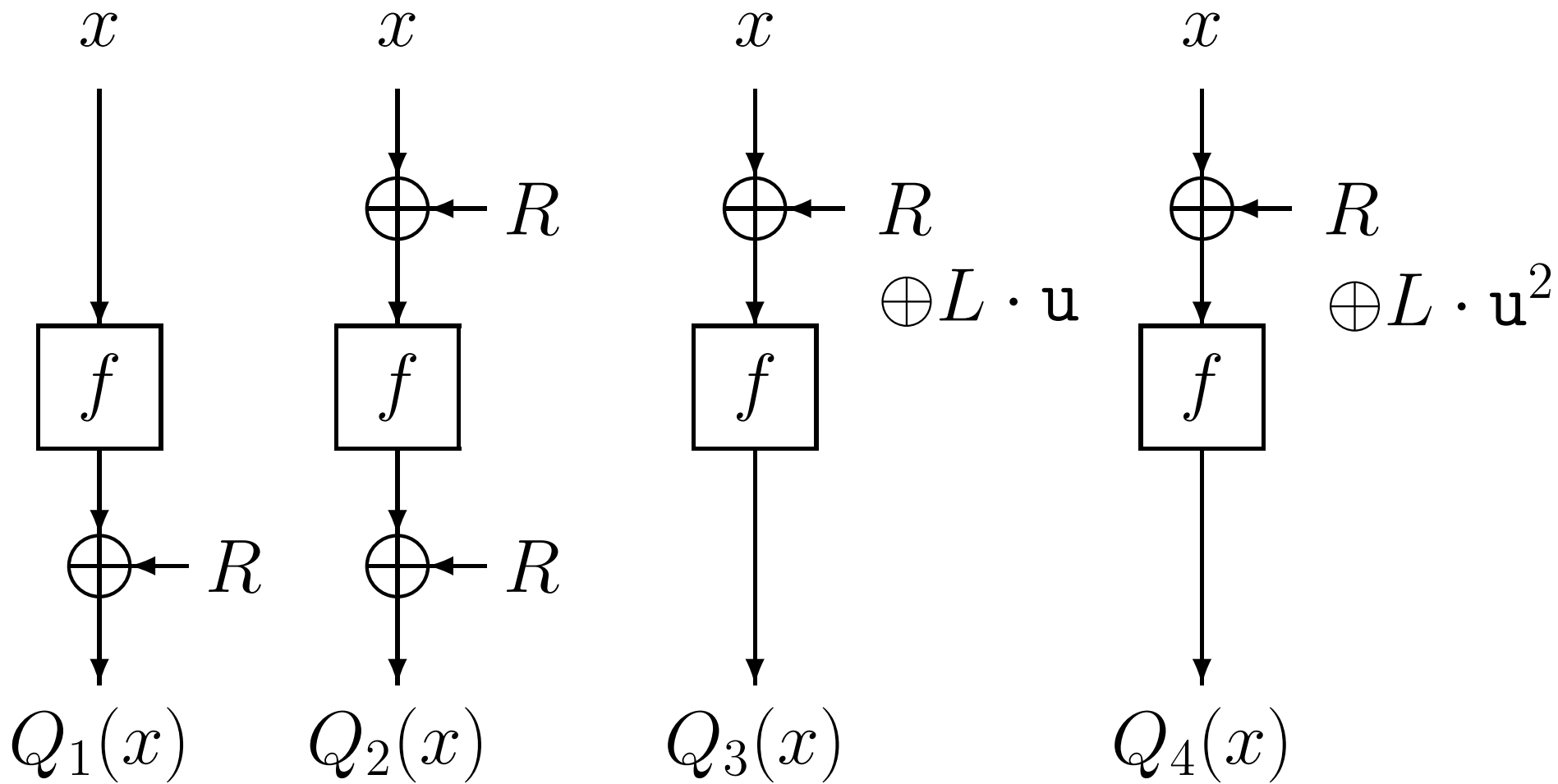


# Our Idea for Ideal OMAC

$$f \xleftarrow{R} \text{Perm}, R \xleftarrow{R} \{0, 1\}^n, L = f(0^n).$$

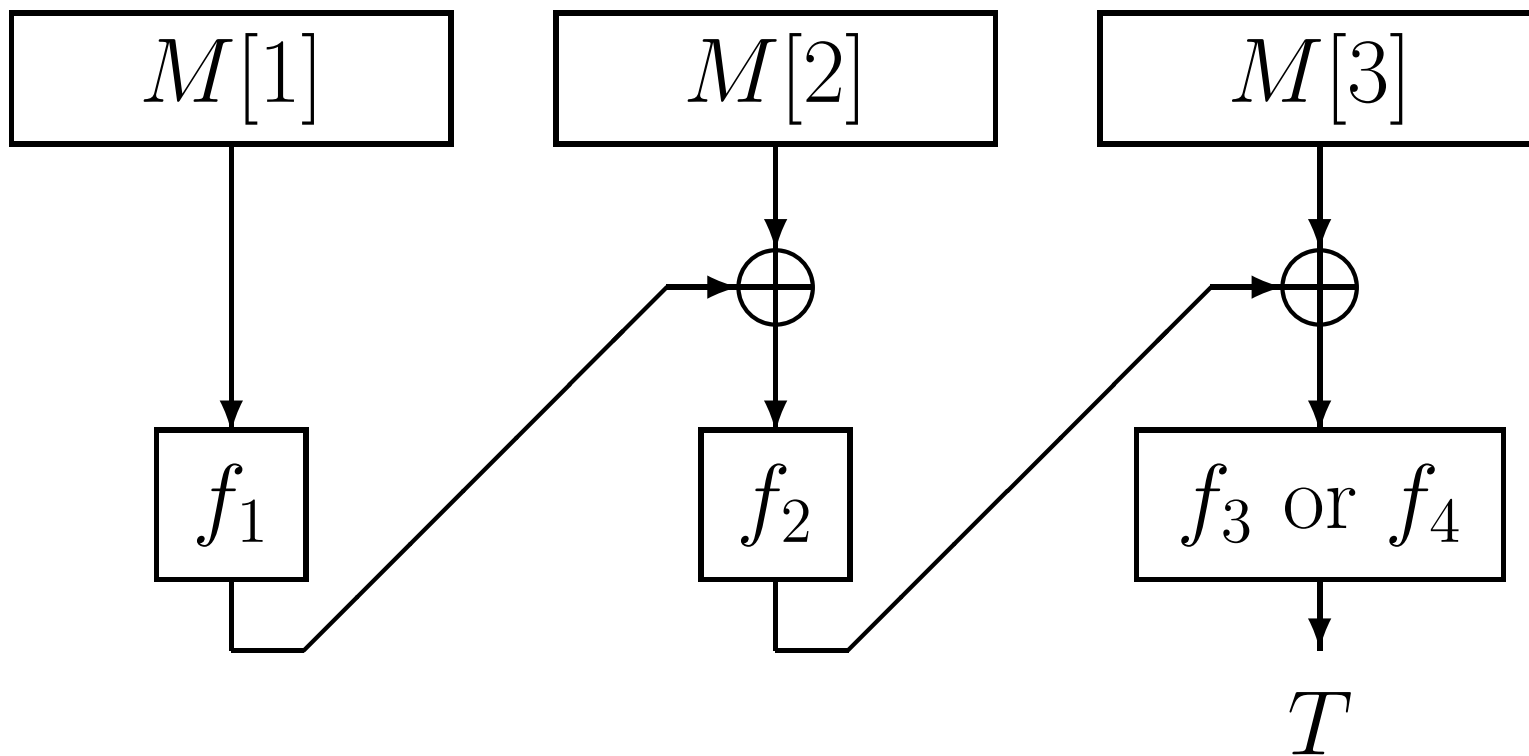


$Q_1, \dots, Q_4$



# MOMAC

$f_1, \dots, f_4 \xleftarrow{R} \text{Perm.}$



# Security of MOMAC

(Lemma 7) MOMAC is pseudorandom.

(Proof) Similar to Ideal EMAC.



**(Lemma 8)** MOMAC is secure.



## Proof of Theorem 3

$$y_0 = Q_1(0^n) = f(0^n) + R = L + R$$

For fixed  $y_0$ ,  $L$  is random because  $R$  is random.

**BAD** $_{i,j}$ : The inputs to  $f$  collide for  $Q_i$  and  $Q_j$ .

For  $Q_3$  and  $Q_4$ ,

$$\exists x_i \oplus R \oplus L \cdot \mathbf{u} = \exists x_j \oplus R \oplus L \cdot \mathbf{u}^2$$

$$x_i \oplus x_j = L \cdot (\mathbf{u} + \mathbf{u}^2).$$

$$\Pr(\mathbf{BAD}_{i,j}) < \epsilon \text{ for any } (i, j).$$

Hence

$(Q_1, \dots, Q_4)$  and random  $(f_1, \dots, f_4)$   
are indistinguishable.

Q.E.D.

# Security of OMAC

(Theorem 4)

OMAC is secure if  $P$  is pseudorandomP.

(Proof)

Because ideal OMAC is secure from Corollary 1.



## NIST Recommends OMAC

<http://csrc.nist.gov/CryptoToolkit/modes/>

”NIST currently intends to specify the OMAC variation of the XCBC algorithm instead of the RMAC algorithm that was originally proposed in the first draft of SP 800-38B”.

# Implimentations of OMAC

- ”Secure Programming Cookbook for C and C++”  
by John Viega and Matt Messir,  
published by O’Reilly (2003) ISBN 0-596-00394-3
- Brian Gladman: (C)  
<http://fp.gladman.plus.com/AES/index.html>

- Jack Lloyd: (C)

<http://botan.randombit.net/>

- Paulo Barreto: (C++, Java)

<http://planeta.terra.com.br/informatica/paulobarreto/>

## Follow up work on OMAC

IEEE 802.11i standard (for Wireless LAN)

CCM = counter mode + CBC MAC

However,

Bellare, Rogaway and Wagner (ePrint)

pointed out the drawback of CCM and proposed

EAX=counter mode + OMAC